

# FACTS

## What does Par5 Capital & Co., LLC (“Par5”) Do with your personal information?

Reasons we can share your personal information	Does Par5 share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	YES	NO
<b>For our marketing purposes—</b> to offer our products and services to you	YES	NO
<b>For joint marketing with other financial companies</b>	NO	N/A
<b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences	YES	NO
<b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness	YES	YES
<b>For our affiliates to market to you</b>	N/A	N/A
<b>For non-affiliates to market to you</b>	NO	N/A

**Why?**

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**What?**

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and identity information, address, telephone number, e-mail
- brokerage accounts and account holdings, balances, family composition and background
- security transactions and investment objectives, net worth, income, payment history

**How?**

All financial companies need to share customer’s personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customer’s personal information; the reasons Par5 chooses to share; and whether you can limit this sharing.

**To limit our sharing**

- Call 786-638-5650 — our menu will prompt you through your choice(s)
- Visit us online: [www.par5capital.com](http://www.par5capital.com)
- Mail the form below

**Please note:**

If you are a *new* customer, we can begin sharing your information 30 days from the date we sent this notice. When you are *no longer* our customer, we continue to share your information as described in this notice.

However, you can contact us at any time to limit our sharing.

**Questions?**

Call 786-638-5650 Go to [www.par5capital.com](http://www.par5capital.com) or Email [info@par5capital.com](mailto:info@par5capital.com)

	<p>Mark any/all you want to limit:</p> <p><input type="checkbox"/> Do not share information about my creditworthiness with your affiliates for their everyday business purposes.</p> <p><input type="checkbox"/> Do not allow your affiliates to use my personal information to market to me.</p>
	<input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/> <input type="checkbox"/>
Mail To:	Par5 Capital & Co., LLC 600 Brickell Avenue, Suite 2520 Miami, Florida 33131

Who we are	
Who is providing this notice?	Par5 Capital & Co., LLC
What we do	
How does Par5 protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. We restrict access to your non-public personal information to those employees who need to know that information to service your account.
How does Par5 collect my personal information?	We collect your personal information, for example, when you <ul style="list-style-type: none"> <li>■ sign our agreement or open a brokerage/custodial account</li> <li>■ communicate with us or purchase or sell securities</li> <li>■ deposit or withdraw funds, provide or update your investment profile</li> </ul> Instruct your broker, custodian, or bank to forward your statements to us or grant us access to your statements
Why can't I limit all sharing?	Federal law gives you the right to limit only <ul style="list-style-type: none"> <li>■ sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ affiliates from using your information to market to you</li> <li>■ sharing for non-affiliates to market to you</li> </ul> State laws and individual companies may give you additional rights to limit sharing.
What happens when I limit sharing for an account I hold jointly with someone else?	Your choices will apply to everyone on your account.
Definitions	
<b>Affiliates</b>	Companies related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ Par5 Capital &amp; Co., LLC is affiliated with Par5 Advisors, LLC, a registered investment adviser entity.</li> </ul>
<b>Non-affiliates</b>	Companies not related by common ownership or control. They can be financial and nonfinancial companies. <ul style="list-style-type: none"> <li>■ We will not share your information with any non-affiliates unless such sharing is necessary to service your account or required by law.</li> </ul>
<b>Joint marketing</b>	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. <ul style="list-style-type: none"> <li>■ We have not entered any joint marketing agreements.</li> </ul>